

Worksession

**MEMORANDUM**

September 25, 2014

TO: Government Operations and Fiscal Policy Committee

FROM: Dr. Costis Toregas, Council IT Adviser

SUBJECT: Supplemental Appropriation 15-371 to the County Government's FY15 Operating Budget, Department of Technology Services - \$49,500 for Interagency CEB Security Controls Maturity Benchmarking Study (Source: General Fund Designated Reserves)

The following are expected to attend:

Sonny Segal, Chief Information Officer  
Keith Young, Enterprise Information Security Office  
Office of Management and Budget (OMB) Representative  
Gary Thomas, ITPPC Staff

**Summary of Staff Recommendations:**

1. **Accept** the Executive's recommendation to increase the DTS budget by \$49,500 to assist outside agencies with their security maturity assessment studies.
2. **Request** that the Office of Management and Budget consider a streamlined process for enabling subsequent Interagency Technology Fund (ITF) funded projects to move on an accelerated basis and make appropriate recommendations to the Committee.

**Overview**

The Executive has requested a supplemental appropriation of \$49,500 to allow the Department of Technology Services (DTS) to fund a study with the CEB (Corporate Executive Board) that will provide comparable and actionable benchmarks to the five outside agency members of the Interagency Technology Policy and Coordination Committee (ITPCC):

- Montgomery County Public Schools;
- Montgomery College;
- Washington Suburban Sanitary Commission;
- Maryland-National Parks and Planning Commission; and
- Housing Opportunities Commission.

The request of the Executive is on © 1-3.

DTS has already conducted such a study for its own systems using a self-assessment process; this supplemental appropriation will allow the remaining members of the ITPCC to do the same. Funds have already been designated from the Interagency Technology Fund. The Council approved a sum of \$125,000 in the FY15 budget process for precisely this type of cross-agency effort that should result in a stronger cyber security plan and actions by all six ITPCC members.

The requested supplemental appropriation was introduced to the Council on September 9 and a public hearing was held on September 23. No public comments were received.

### **Staff Comments**

The project is included in the 2015 ITPCC Work Plan already approved by the GO Committee. The need for the present action is to ensure proper expenditure of the County's Designated Reserves, which is where the \$125,000 ITF support for FY15 is residing. Each subsequent project funded through the ITF will require the same four step process (Introduction, Public Hearing, GO Committee review, and ultimate Council approval). Since this process has already been accomplished for the overall ITF designation in FY15, it might be possible to streamline the processes of suballocations for specific projects. The Office of Management and Budget has indicated that this is a necessary process, but perhaps there may be ways to expedite it through more careful designation language when ITF funding is taken up during the budget process for subsequent years.




OFFICE OF THE COUNTY EXECUTIVE  
ROCKVILLE, MARYLAND 20850

Isiah Leggett  
County Executive

MEMORANDUM

August 29, 2014

TO: Craig Rice, President, County Council

FROM: Isiah Leggett, County Executive 

SUBJECT: Supplemental Appropriation #15-371 to the FY15 Operating Budget  
Montgomery County Government (MCG)  
Department of Technology Services (DTS)  
Interagency CEB Security Controls Maturity Benchmarking Study

I am recommending a supplemental appropriation to the FY15 Operating Budget of the Department of Technology Services in the amount of \$49,500 for the implementation of the Interagency CEB Security Controls Maturity Benchmarking Study, as approved in the FYs15-16 Interagency Technology Policy and Coordination Committee (ITPCC) work plan.

This supplemental appropriation will fund professional services to conduct a structured assessment and analysis of the current information security practice maturity levels in the major County agencies comprising the ITPCC. Project deliverables will result in a determination of security program maturity levels in each of the ITPCC agencies, provide an aggregated security assessment report for all agencies comprising the ITPCC, identify and rank areas of risk for focused attention, and recommend areas for focused remediation so limited resources are directed effectively to enhance overall County information security practices. The outcomes will inform future steps ITPCC will undertake related to the Interagency Risk Management program area.

I recommend that the County Council approve this supplemental appropriation in the amount of \$49,500 and specify the source of funds as General Fund Designated Reserves; for the approved FY15 Operating Budget, Council has designated \$125,000 for ITPCC use. This supplemental will reduce General Fund Designated Reserves by \$49,500 and is consistent with the fund balance policy for tax supported reserves.

I appreciate your prompt consideration of this action.

IL:nm

Attachment: Supplemental Appropriation #15-371

c: Jennifer A. Hughes, Director, Office of Management and Budget  
Alex Espinosa, Operating Budget Manager, Office of Management and Budget  
Naeem Mia, Analyst, Office of Management and Budget  
ITPCC Principals  
ITPCC CIO Subcommittee

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MONTGOMERY COUNTY

2014 AUG 29 PM 12:43

Resolution No: \_\_\_\_\_  
Introduced: \_\_\_\_\_  
Adopted: \_\_\_\_\_

COUNTY COUNCIL  
FOR MONTGOMERY COUNTY, MARYLAND

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By: Council President at the Request of the County Executive

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SUBJECT: Supplemental Appropriation #15-371 to the FY15 Operating Budget  
Montgomery County Government  
Department of Technology Services (DTS)  
Interagency CEB Security Controls Maturity Benchmarking Study

Background

1. Section 307 of the Montgomery County Charter provides that any supplemental appropriation shall be recommended by the County Executive who shall specify the source of funds to finance it. The Council shall hold a public hearing on each proposed supplemental appropriation after at least one week's notice. A supplemental appropriation that would comply with, avail the County of, or put into effect a grant or a Federal, State or County law or regulation, or one that is approved after January 1 of any fiscal year, requires an affirmative vote of five Council members. A supplemental appropriation for any other purpose that is approved before January 1 of any fiscal year requires an affirmative vote of six Council members. The Council may, in a single action, approve more than one supplemental appropriation. The Executive may disapprove or reduce a supplemental appropriation, and the Council may reapprove the appropriation, as if it were an item in the annual budget.
2. The County Executive has requested the following FY15 Operating Budget appropriation increases for the Department of Technology Services (DTS):

<u>Personnel Services</u>	<u>Operating Expenses</u>	<u>Capital Outlay</u>	<u>Total</u>	<u>Source of Funds</u>
\$0	\$49,500	\$0	\$49,500	General Fund Designated Reserves

3. This supplemental appropriation to the DTS FY15 budget will fund professional services to conduct a structured assessment and analysis of the current information security practice maturity levels in the major County agencies comprising the ITPCC. Project deliverables will result in a determination of security program maturity levels in each of the ITPCC agencies, provide an aggregated security assessment report for all agencies comprising the ITPCC, identify and rank areas of risk for focused attention, and recommend areas for focused remediation so limited resources are directed effectively to enhance overall County information security practices. The outcomes will inform future steps ITPCC will undertake related to the Interagency Risk Management program area.
4. The County Executive recommends a supplemental appropriation to the FY15 Operating Budget in the amount of \$49,500 for the Interagency CEB Security Controls Maturity Benchmarking Study and specifies that the source of funds will be General Fund Designated Reserves.
5. Notice of public hearing was given, and a public hearing was held.

Action

The County Council for Montgomery County, Maryland, approves the following actions:

A supplemental appropriation to the FY15 Operating Budget of the Department of Technology Services is approved as follows:

<u>Personnel Services</u>	<u>Operating Expenses</u>	<u>Capital Outlay</u>	<u>Total</u>	<u>Source of Funds</u>
\$0	\$49,500	\$0	\$49,500	General Fund Designated Reserves

This is a correct copy of Council action.

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Linda M. Lauer, Clerk of the Council